

FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>		ATTY. DOCKET NO. 1821.0040001/EKS/TJS	APPLICATION NO. 09/824,787
		APPLICANT Zauderer et al.	RECEIVED OCT 16 2002 TECH CENTER 1600/2900
		FILING DATE April 4, 2001	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
Amh	AL1	WO 99/33869	07/08/1999	WIPO	—	—	Yes No
↓	AM1	WO 99/37775	07/29/1999	WIPO	—	—	Yes No
↓	AN1	EP 1 033 401 A2	09/06/2000	Europe	—	—	Yes No
Amh	AO1	WO 00/55173	09/21/2000	WIPO	—	—	Yes No
Amh	AP1	WO 00/55350	09/21/2000	WIPO	—	—	Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	1	NCBI Entrez, GenBank Report, Accession No. T84927, from Hillier, L., et al., entry created 1995.
	AS	1	NCBI Entrez, GenBank Report, Accession No. T92052, from Hillier, L., et al., entry created 1995.
	AT	1	NCBI Entrez, GenBank Report, Accession No. R22401, from Hillier, L., et al., entry created 1995.

EXAMINER	<i>Amh Harris</i>	DATE CONSIDERED:	<i>2/6/2003</i>
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
amh	AL2	WO 01/40269 A2	06/07/2001	WIPO	—	—	Yes No
amh	AM2	WO 01/74859 A3 (Search Report Only)	10/11/2001	WIPO	—	—	Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	NCBI Entrez, GenBank Report, Accession No. R22331, from Hillier, L., et al., entry created 1995.
AS	2	NCBI Entrez, GenBank Report, Accession No. R22332, from Hillier, L., et al., entry created 1995.
AT	2	NCBI Entrez, GenBank Report, Accession No. R23369, from Hillier, L., et al., entry created 1995.

EXAMINER	amharris	DATE CONSIDERED
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	3	NCBI Entrez, GenBank Report, Accession No. R23139, from Hillier, L., et al., entry created 1995.
	AS	3	NCBI Entrez, GenBank Report, Accession No. R32153, from Hillier, L., et al., entry created 1995.
	AT	3	NCBI Entrez, GenBank Report, Accession No. R32154, from Hillier, L., et al., entry created 1995.

EXAMINER	<i>AMH/Asis</i>	DATE CONSIDERED
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	4	NCBI Entrez, GenBank Report, Accession No. R63575, from Hillier, L., et al., entry created 1995.
AS	4	NCBI Entrez, GenBank Report, Accession No. R68901, from Hillier, L., et al., entry created 1995.
AT	4	NCBI Entrez, GenBank Report, Accession No. R68799, from Hillier, L., et al., entry created 1995.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	5	NCBI Entrez, GenBank Report, Accession No. R12836, from Hillier, L., et al., entry created 1995.
AS	5	NCBI Entrez, GenBank Report, Accession No. H12779, from Hillier, L., et al., entry created 1995.
AT	5	NCBI Entrez, GenBank Report, Accession No. H56522, from Hillier, L., et al., entry created 1995.

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	6	NCBI Entrez, GenBank Report, Accession No. H56704, from Hillier, L., et al., entry created 1995.
	AS	6	NCBI Entrez, GenBank Report, Accession No. H95363, from Hillier, L., et al., entry created 1995.
	AT	6	NCBI Entrez, GenBank Report, Accession No. H96055, from Hillier, L., et al., entry created 1995.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	7	NCBI Entrez, GenBank Report, Accession No. H96058, from Hillier, L., et al., entry created 1995.
	AS	7	NCBI Entrez, GenBank Report, Accession No. H94832, from Hillier, L., et al., entry created 1995.
	AT	7	NCBI Entrez, GenBank Report, Accession No. H96418, from Hillier, L., et al., entry created 1995.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	8	NCBI Entrez, GenBank Report, Accession No. H96422, from Hillier, L., et al., entry created 1995.
	AS	8	NCBI Entrez, GenBank Report, Accession No. N26715, from Hillier, L., et al., entry created 1995.
	AT	8	NCBI Entrez, GenBank Report, Accession No. N27088, from Hillier, L., et al., entry created 1995.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	9	NCBI Entrez, GenBank Report, Accession No. N32532, from Hillier, L., et al., entry created 1996.
AS	9	NCBI Entrez, GenBank Report, Accession No. N31910, from Hillier, L., et al., entry created 1996.
AT	9	NCBI Entrez, GenBank Report, Accession No. N34596, from Hillier, L., et al., entry created 1996.

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AL							Yes No
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42748, from Hillier, L., et al., entry created 1996.
	AS	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42693, from Hillier, L., et al., entry created 1996.
	AT	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. AA026773, from Hillier, L., et al., entry created 1996.

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	AR	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. AA026774, from Hillier, L., et al., entry created 1996.
	AS	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. W37432, from Hillier, L., et al., entry created 1996.
	AT	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. W32121, from Hillier, L., et al., entry created 1996.

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	AL						Yes No
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	AN						Yes No
	AO						Yes No
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	AR	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W44577, from Hillier, L., et al., entry created 1996.
	AS	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W51792, from Hillier, L., et al., entry created 1996.
	AT	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W57569, from Hillier, L., et al., entry created 1996.

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W65390, from Hillier, L., et al., entry created 1996.
AS	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W61294, from Hillier, L., et al., entry created 1996.
AT	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. AA037285, from Hillier, L., et al., entry created 1996.

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	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA502178, from NCI-CGAP, entry created 1997.
AS	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA285089, from Hillier, L., et al., entry created 1997.
AT	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA284919, from Hillier, L., et al., entry created 1997.

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	<u>15</u>	NCBI Entrez, GenBank Report, Accession No. AA308370, from Adams, M.D., et al., entry created 1997.
	AS	<u>15</u>	NCBI Entrez, GenBank Report, Accession No. AA313422, from Adams, M.D., et al., entry created 1997.
	AT	<u>15</u>	NCBI Entrez, GenBank Report, Accession No. AA337071, from Adams, M.D., et al., entry created 1997.

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	AP						Yes No

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AR	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA375119, from Adams, M.D., et al., entry created 1997.
AS	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA375286, from Adams, M.D., et al., entry created 1997.
AT	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA393628, from Hillier, L., et al., entry created 1997.

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA568537, from NCI-CGAP, entry created 1997.
AS	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA704668, from Hillier, L., et al., entry created 1997.
AT	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA707623, from NCI-CGAP, entry created 1997.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA813244, from NCI-CGAP, entry created 1998.
AS	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA911823, from NCI-CGAP, entry created 1998.
AT	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA830592, from NCI-CGAP, entry created 1998.

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AL							Yes No
AM							Yes No
AN							Yes No
AO							Yes No
AP							Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	19	NCBI Entrez, GenBank Report, Accession No. AA872671, from NCI-CGAP, entry created 1998.
AS	19	NCBI Entrez, GenBank Report, Accession No. AA935328, from NCI-CGAP, entry created 1998.
AT	19	NCBI Entrez, GenBank Report, Accession No. AA971857, from NCI-CGAP, entry created 1998.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	20	NCBI Entrez, GenBank Report, Accession No. AA971638, from NCI-CGAP, entry created 1998.
	AS	20	NCBI Entrez, GenBank Report, Accession No. AI025384, from NCI-CGAP, entry created 1998.
	AT	20	NCBI Entrez, GenBank Report, Accession No. AI051009, from NCI-CGAP, entry created 1998.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI041967, from NCI-CGAP, entry created 1998.
	AS	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI083674, from NCI-CGAP, entry created 1998.
	AT	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI199227, from NCI/NINDS-CGAP, entry created 1998.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI285284, from NCI-CGAP, entry created 1998.
	AS	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI288765, from NCI-CGAP, entry created 1998.
	AT	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI336555, from NCI-CGAP, entry created 1998.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI362693, from NCI/NINDS-CGAP, entry created January 1999.
AS	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI360416, from NCI/NINDS-CGAP, entry created January 1999.
AT	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI439771, from NCI-CGAP, entry created February 1999.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	24	NCBI Entrez, GenBank Report, Accession No. AI499727, from NCI/NINDS-CGAP, entry created February 1999.
	AS	24	NCBI Entrez, GenBank Report, Accession No. AI525314, from Huang, G.M., et al., entry created March 1999.
	AT	24	NCBI Entrez, GenBank Report, Accession No. AI672936, from NCI-CGAP, entry created May 1999.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	25	NCBI Entrez, GenBank Report, Accession No. AI800991, from NCI-CGAP, entry created July 1999.
	AS	25	NCBI Entrez, GenBank Report, Accession No. AI914716, from NCI-CGAP, entry created July 1999.
	AT	25	NCBI Entrez, GenBank Report, Accession No. AI934846, from NCI/NINDS-CGAP, entry created August 1999.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>26</u>	NCBI Entrez, GenBank Report, Accession No. AI937133, from NCI/NINDS-CGAP, entry created August 1999.
AS	<u>26</u>	NCBI Entrez, GenBank Report, Accession No. AW006797, from NCI-CGAP, entry created September 1999.
AT	<u>26</u>	NCBI Entrez, GenBank Report, Accession No. AW327450, from NIH-MGC, entry created November 1999.

EXAMINER	DATE CONSIDERED
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## FOREIGN PATENT DOCUMENTS

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	27	NCBI Entrez, GenBank Report, Accession No. AI909652, from Dias Neto, E., et al., entry created December 1999.
	AS	27	NCBI Entrez, GenBank Report, Accession No. AW406075, from NIH-MGC, entry created February 2000.
	AT	27	NCBI Entrez, GenBank Report, Accession No. AW406223, from NIH-MGC, entry created February 2000.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>28</u>	EMBL Entry, Accession No. AW327450, from NIH-MGC, entry created January 2000.
	AS	<u>28</u>	Comparison of C35 DNA sequence with actual sequences for deposited clones corresponding to W57569 (Document AT12) and AA971857 (Document AT19), prepared by inventors of parent application, Zauderer et al.
	AT	<u>28</u>	Sahasrabudhe, D.M., et al., "Shared T Cell-Defined Antigens on Independently Derived Tumors," J. Immunol. 151:6302-6310, The American Association of Immunologists (1993)

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